

Time to Rethink the Default Settings in Neuroscience: Hormonal Transition Periods as Natural Experiments and Why Sex Matters.

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ABSTRACT

Diversity drives scientific discovery. Yet, many basic and clinical neuroscience studies fail to include equal numbers of females in their samples, and even fewer present sex-specific analysis of their data. We propose the following strategies to overcome this bias: (1) increase numbers of female study participants, (2) consider sex as a primary variable, and (3) when justified, study all-female samples to provide a more in-depth understanding of female-specific experiences such as the menstrual cycle as well as sex-specific risk trajectories and pathologies. In our research program, we study the influence of sex and sex hormones on brain states in health and disease. We strive to explain the mechanisms underlying the unique vulnerability of women to depression and dementia. Our ultimate goal is to improve brain health for both sexes. By applying scientific expertise in neuropharmacology, quantitative neurochemical imaging and sex differences to traditional research questions in the cognitive sciences, we provide novel perspectives on the diversity of human cognition and brain plasticity.

Knowledge gaps and missing data are often the primary driving force behind the development of scientific breakthroughs. The curiosity and ur-

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gency to discover answers when navigating an uncharted scientific landscape is viewed as a guiding principle for, and defining characteristic of, a scientist. But there remains a persistent lack of inclusion of the female population in research studies and standards, despite the translational relevance of these basic scientific data for over half the population. For example, the 1958 Baltimore Longitudinal Study of Aging on “normal human aging” did not include any women in the first 20 years.¹ The 1982 Physicians’ Health Study² and 1973 Multiple Risk Factor Intervention Trial,³ two clinical trial studies on intervention of cardiovascular diseases, included thousands of men but no women. This systematic bias is not simply an issue of the past; even in 2016, only 54% of public health studies, 43% of clinical medicine studies, and 30% of basic biomedical research reported females and males.⁴

Of the studies that do include female subjects, sex is still not regularly considered as a primary factor and is often regressed out as a covariate (reviewed in Galea et al.⁵). Mersha and colleagues⁶ illustrated the potential oversights resulting from this approach by conducting both sex-specific and sex-combined analyses to test genomic associations with asthma risk. They found that over half of the key genetic variants were overlooked in sex-combined versus sex-specific analyses. Sex bias can exist unintentionally as well: Pirastu and colleagues⁷ investigated sex-specific study participation bias, revealing that large-scale, population-based studies are not always representative of the general population. Performing a genome-wide association study (GWAS) of sex with over 3 million participants, the authors demonstrated that a sex-differentiated participation bias in certain studies can result in spurious associations and inferences. All of these examples lead to lost opportunities in terms of informing basic scientific discovery; and without basic knowledge on the biological underpinnings of sex differences, we cannot address critical sex-driven differences in pathology. The current coronavirus disease 2019 (COVID-19) pandemic represents a topical example of the importance of recognizing and investigating such differences—there are prominent sex differences surfacing in mortality and

1 Shock, 1984.

2 *Steering Committee of the Physicians’ Health Study Research Group**, 1989.

3 *Multiple Risk Factor Intervention Trial Research Group*, 1982.

4 Sugimoto/Ahn/Smith/Macaluso/Larivière, 2019.

5 Galea/Choleris/Albert/McCarthy/Sohrabji, 2020.

6 Mersha/Martin/Myers/Kovacic/He/Lindsey/Sivaprasad/Chen/Hershey, 2015.

7 Pirastu/Cordioli/Nandakumar/Mignogna/Abdellaoui/Hollis/Kanai/Rajagopal/Parolo/Baya, 2020.

vulnerability that appear to characterize the disease⁸ but remain far from understood.

Another example of the critical potential to advance knowledge through proactive acknowledgement of sex differences is within technological breakthroughs, such as the use of artificial intelligence (AI) for healthcare and biomedical diagnosing. In a recent review,⁹ the authors emphasize how AI algorithms need to actively take into account sex and gender differences in order to make the most precise diagnoses and to recommend the most tailored and effective treatment, while avoiding unnecessary discrimination. Undesirable sex and gender discrimination often manifest unintentionally in training datasets. For example, Larrazabal and colleagues demonstrated the consequences of using sex-imbalanced medical imaging datasets, showing that algorithms performed significantly worse in female patients if the training dataset underrepresented female patients.¹⁰ And while the inverse was also true, the reality is that there is a critical lack of female participant availability in existing biomedical research datasets. Regardless of whether training and benchmarking datasets are biased by unrepresentative data or data that were never collected to begin with, the consequences can be devastating; whether that be for sex-specific pathology knowledge, clinical diagnosis purposes, or even chance of survival following an automobile accident.¹¹

Neuroscience is particularly lagging¹², with the male brain implicitly employed as the “default model” and only a minority of basic science and clinical neuroscience studies including a female sample. An analysis of neuroscience research articles in 2017 concluded that “male sex bias remains a persistent and perhaps even intensifying phenomenon in the neuroscience literature”.¹³ Given robust sex differences in neuropsychiatric

8 Takehiro/Iwasaki, 2021; *Global Health* 5050, 2020; Guan/Ni/Hu/Liang/Ou/He/Liu/Shan/Li/Hui, 2020; Chen/Zhou/Dong/Qu/Gong/Han/Qiu/Wang/Liu/Wei, 2020; Wenham/Smith/Morgan, 2020; Chakravarty/Nair/Hammouda/Ratnani/Gharib/Wagaskar/Mohamed/Lundon/Dovey/Kyprianou, 2020.

9 Cirillo/Catuara-Solarz/Morey/Guney/Subirats/Mellino/Gigante/Valencia/Rementeria/Chadha, 2020.

10 Larrazabal/Nieto/Peterson/Milone/Ferrante, 2020.

11 See Holder, 2019, for female injury and fatality repercussions of historically using male-type crash test dummies; <https://www.bloomberg.com/news/articles/2019-07-18/why-women-are-likelier-to-be-hurt-in-a-car-crash>.

12 Beery/Zucker, 2011; Will/Proaño/Thomas/Kunz/Thompson/Ginnari/Jones/Lucas/Reavis/Dorris, 2017.

13 Will/Proaño/Thomas/Kunz/Thompson/Ginnari/Jones/Lucas/Reavis/Dorris, 2017.

and neurodegenerative disease risk,¹⁴ brain anatomy¹⁵ as well as response to pharmaceuticals,¹⁶ neglect of women in neuroscientific research directly and detrimentally affects the health of women. This female underrepresentation may partially explain why women typically report more adverse event reactions to pharmacological interventions as compared to men.¹⁷ In the United States between 1997 and 2001, eight of the ten drugs that had to be withdrawn from the market had significantly higher health risks for women than for men. To identify biological contributors relevant to sex-specific effects, we must acknowledge and address this sex and gender data gap.¹⁸ Specifically, we need to promote scientific excellence by increasing the number of female participants, by considering sex and gender as primary variables in analyses, and—when justified—recruiting all-female samples to provide a more in-depth understanding of sex-specific risk trajectories and pathologies. This includes, but is not limited to, studies designed to investigate sex-specific mechanisms in topics where sex differences have already been observed, as well as female-specific experiences such as menstruation, hormonal contraceptive use, pregnancy/postpartum, and menopause—in which a male comparison group would not be scientifically meaningful. In this report, we will review some recent studies of the Emotion & Neuroimaging (EGG) lab to investigate sex-specific risk trajectories on brain and cognitive health as well as the unique vulnerabilities of women to depression and dementia, including suggestions for future neuroscientific research on the intersection of sex and sex hormones in health and disease.

Sex differences in depression and dementia risk underscore the need to consider sex-specific mechanisms as a deliberate aim in human neuroimaging studies. In the EGG lab, Dr. Julia Sacher leads an interdisciplinary team of psychiatrists, psychologists and neuroscientists who focus on the distinct susceptibility of women to depressive symptoms across the lifespan. Through the use of multimodal neuroimaging techniques, our research aims to provide a mechanistic understanding of the interplay between sex hormones, brain structure and function, and female mental and

14 Kessler/Berglund/Demler/Jin/Koretz/Merikangas/Rush/Walters/Wang, 2003; Bromet/Andrade/Hwang/Sampson/Alonso/De Girolamo/De Graaf/Demyttenaere/Hu/Iwata, 2011; Ruitenberg/Ott/van Swieten/Hofman/Breteler, 2001; Carter/Resnick/Mallampalli/Kalbarczyk, 2012.

15 Liu/Seidlitz/Blumenthal/Clasen/Raznahan, 2020.

16 LeGates/Kvarta/Thompson, 2019.

17 Simon, 2005; Tharpe, 2011; Light/Lovell/Butt/Fauvel/Holdcroft, 2006.

18 Perez, 'Invisible Women', 2019; D'Ignazio/Klein, 2020; <https://data2x.org/>.

cognitive health. A major focus of the lab is to identify what neurochemical changes occur in the brain during hormonal transition phases across the lifespan, and determine if these changes may explain why women have the highest vulnerability towards depressed mood when sex hormones fluctuate and decline rapidly.¹⁹ Positron emission tomography (PET) can be used for in-vivo quantification of such neurochemical changes,²⁰ potentially allowing for early identification of biomarkers of mood disorders. One critical hormonal transition period is the postpartum period, during which estrogen levels drop up to 1000-fold after delivery.²¹ This immense hormonal disruption and the subsequent neurochemical consequences may underlie the “baby blues” experienced by up to 80% of mothers within days of delivery, or the more severe clinical form (postpartum depression [PPD]) experienced by approximately 15% of mothers and occurring within a month of delivery.

Sacher and colleagues used PET to measure monoamine oxidase A (MAO-A) levels in women who had given birth within 4–6 days, and found that greater MAO-A levels and activity were associated with both lower estrogen levels and greater symptom severity.²² Women who developed PPD within a month had sustained high levels of MAO-A.²³ This interaction between MAO-A density, depressed mood and sex hormones is critical, as MAO-A metabolizes key monoamine neurotransmitters, such as serotonin, and excessive removal of such monoamines can have detrimental effects. This project provides insight into the uniquely female-specific neurobiology of the early postpartum period that remains underrepresented in neuroscientific literature. And while studies in these populations are challenging, the concerns can be addressed with thoughtful study design (see suggestions in Zsido et al.²⁴) and the outcomes have clear implications for informing treatment options. For example, as treatment in these women should be compatible with breastfeeding, the results of this study suggest taking dietary supplements of monoamine precursors to maintain sufficient balance of monoamines during the early postpartum period.

19 Zsido/Villringer/Sacher, 2017; Deecher/Andree/Sloan/Schechter, 2008; Freeman/Sammel/Boorman/Zhang, 2014; Frokjaer/Pinborg/Holst/Overgaard/Henningsson/Heede/Larsen/Jensen/Agñ/Nielsen, 2015.

20 Zsido/Villringer/Sacher, 2017.

21 O'Hara/Schlechte/Lewis/Wright, 1991; O'Hara/Swain, 1996; Nott/Franklin/Armitage/Gelder, 1976.

22 Sacher/Wilson/Houle/Rusjan/Hassan/Bloomfield/Stewart/Meyer, 2010.

23 Sacher/Rekkas/Wilson/Houle/Romano/Hamidi/Rusjan/Fan/Stewart/Meyer, 2015.

24 Zsido/Villringer/Sacher, 2017.

Beyond these extensive hormonal shifts during the postpartum period, there are also more subtle hormonal fluctuations that can pre-dispose women to depressive symptoms, such as during the menstrual cycle. Approximately 8% of women during their reproductive years will develop premenstrual dysphoric disorder (PMDD), which is characterized by symptoms such as irritability, depression and anxiety.²⁵ As these core symptoms occur exclusively in the late luteal phase before menstruation and when hormones are rapidly decreasing, the immediate assumption was that ovarian hormones such as estradiol were independently driving the depressed mood. Indeed, previous work has shown that fluctuations in estradiol levels around a woman's own mean is the strongest risk factor for developing symptoms.²⁶ Yet, women suffering from PMDD do not have differences in absolute levels of ovarian hormones compared to healthy controls,²⁷ suggesting another variable to be involved. We do know that, firstly, the most effective clinical treatment for PMDD currently is the use of selective serotonin reuptake inhibitors (SSRIs), and that, secondly, estradiol interacts with the serotonergic system. This is why we hypothesize that women suffering from PMDD have a more pronounced sensitivity of the serotonergic system to physiological fluctuations in estradiol. Through the use of functional and structural magnetic resonance imaging (MRI) and PET, we tested if estradiol fluctuations are associated with depressed mood in women suffering from PMDD, and whether this relationship is moderated by changes in serotonin transporter binding. This study provides the first quantitative neurochemical dataset in vivo to identify a mechanistic biomarker for a newly recognized psychiatric disorder.²⁸

As not all women will experience depressive symptoms associated with these endogenous sex hormone fluctuations, it is also critical to build highly characterized, well-controlled models in healthy controls to better understand how subtle fluctuations in endogenous hormones may already influence brain microstructure and structural connectivity. In an exemplary

25 American Psychiatric Association, 2013.

26 Frokjaer/Pinborg/Holst/Overgaard/Henningsson/Heede/Larsen/Jensen/Agn/Nielsen, Role of Serotonin Transporter Changes in Depressive Responses to Sex-Steroid Hormone Manipulation: A Positron Emission Tomography Study, in: *Biological psychiatry* 2015, 534-43.

27 Bäckström/Andreen/Birzniece/Björn/Johansson/Nordenstam-Haghjo/Nyberg/Sundström-Poromaa/Wahlström/Wang, The Role of Hormones and Hormonal Treatments in Premenstrual Syndrome, in: *CNS drugs* 2003, 325-42.

28 Association, 2013; Reed/First/Kogan/Hyman/Gureje/Gaebel/Maj/Stein/Maercker/Tyrer, 2019.

study, Barth and colleagues²⁹ measured gray matter density changes and white matter microstructure in a single woman across the entire menstrual cycle (30 time-points). The authors found that estradiol levels correlated with both measures in the hippocampus on a day-by-day timescale, suggesting a remarkable degree of hippocampal plasticity in response to sex hormone fluctuations. As this study demonstrated the feasibility and importance of a longitudinal MRI study design to test joint dynamics of the menstrual cycle and the brain, we have now extended this study through the use of ultra-high field 7-Tesla MRI to assess subtle changes in hippocampal subfield volume, white matter microstructure, resting state activity, and endogenous sex hormone fluctuations in a larger sample of women at six critical time-points across the menstrual cycle.

In addition to studying specific hormonal transition states in pathology and in health, another broader approach is to assess sex differences in risk trajectories for neurodegenerative and neuropsychiatric risk across the lifespan. In this third line of work, Zsido and colleagues³⁰ investigated associations between structural patterns of brain aging, visceral fat as a metabolic risk factor for structural brain atrophy, and estradiol levels in 473 women and 501 men (19–79 years old). The study found that visceral fat was associated with compromised brain network structure and worse cognitive performance in both men and women, but that estradiol may protect the brain against these structural patterns of atrophy in women only. The authors also observed that women appeared to accumulate the risk factor of visceral fat at the fastest rate during midlife—a time window when estradiol levels rapidly fluctuate and decrease in women during perimenopause. As visceral fat increases and estradiol decreases during the midlife transition, perimenopause may represent a window of increased risk for cognitive health and thus a critical time for possible prevention of late-life cognitive decline. To further explore this sex-specific finding, the authors conducted a follow-up analysis in the midlife female cohort and found that, although the groups did not differ by age or visceral fat levels, women with lower estradiol levels displayed less healthy patterns of brain structure and weaker memory performance. A complementary study³¹ reported an interaction between unfavorable metabolic states, sex hormones, and menopause status, indicating that elevated testosterone levels and

29 Barth/Steele/Mueller/Rekkas/Arélin/Pampel/Burmann/Kratzsch/Villringer/Sacher, 2016.

30 Zsido/Heinrich/Slavich/Beyer/Masouleh/Kratzsch/Raschpichler/Mueller/Scharrer/Löffler, 2019.

31 Stanikova/Zsido/Luck/Pabst/Enzenbach/Bae/Thiery/Ceglarek/Engel/Wirkner, 2019.

changes in body weight have differential effects on depression susceptibility depending on whether a woman is pre-menopause or post-menopause. These findings have important clinical implications for developing sex-specific strategies to promote a healthy cognitive and brain aging trajectory.

A central aim of the EGG lab is not only to understand individual depression risk but also to inform and improve relevant clinical strategies. A current project includes a longitudinal model of how selective serotonin reuptake inhibitors (SSRIs), the first-line treatment for the majority of patients with depression and anxiety, influences brain activity and neurochemistry. Over half of major depressive disorder patients have inadequate responses to initial antidepressant therapy,³² leading to weeks of trial and error in an attempt to find the right medication. Given that women are twice as likely to suffer from depression as men are,³³ as well as the highly variable response rates and reported sex differences in response to SSRIs,³⁴ there is a critical need to understand the electrophysiological mechanisms underlying SSRI action in women and identify a neurophysiological biomarker to predict individual responsivity to SSRI intake. We administered a commonly-prescribed, fast-acting, clinically-relevant dose of 20mg escitalopram for one week to assess how escitalopram affects brain function, cortical excitatory/inhibitory balance, and neurochemical shifts in the healthy brain using multimodal techniques: functional and structural MRI, electroencephalography (EEG), and MR spectroscopy, respectively. Given the continuously rising number of prescribed antidepressants³⁵ and the increased risk of depression in women worldwide, establishing this model in healthy women provides a well-timed preclinical framework for future translational research in clinical populations and a crucial next step towards informing pharmacological treatment strategies at an individual level.

Depression is a multifaceted disease. Sex hormones are not the sole contributing factor but rather part of a complex and dynamic interplay of neurotransmitters, metabolic risk factors, hormones, psychosocial stress, and possibly an inflammatory response.³⁶ Additionally, while the studies mentioned thus far discussed fluctuations in *endogenous* hormones, it is also known that emotion and mood are influenced by *exogenous* manipulations

32 Bschor/Kern/Henssler/Baethge, 2016.

33 Kessler/Berglund/Demler/Jin/Koretz/Merikangas/Rush/Walters/Wang, 2003.

34 Gaynes/Warden/Trivedi/Wisniewski/Fava/Rush, 2009; LeGates/Kvarta/Thompson, 2019; Bschor/Kern/Henssler/Baethge, 2016.

35 Iacobucci, 2019.

36 Slavich/Sacher, 2019.

of sex hormones, such as the use of oral contraceptives.³⁷ The essential message is that, when studying risk trajectories for neurodegenerative and neuropsychiatric disorders, particularly those with prominent sex differences in risk rates, it is important to investigate sex differences and the role of sex hormonal environment. This can be through analyzing sex as a primary variable in a half-male half-female population, or in a single-sex study explicitly designed to further delineate a previously observed sex-specific effect or sex-specific experience (e.g., PMDD, pregnancy, oral contraceptive use, menopause).

Recognition of the importance of sex and gender is contributing to a paradigm shift in the neurosciences. The National Institute of Health (NIH) released their first Research Project Grant on sex and gender in November 2019 and leading scientists are defining how female brain health warrants its own scientific discipline.³⁸ Major scientific journals such as *The Lancet*, *Nature* and *Science* are increasing discussions surrounding the consequences of missing female representation in scientific research, creating more inclusive editorial policies and expectations for study designs, and dedicating entire issues and projects to sex- and gender-specific advances in scientific discovery.³⁹ Finally, research on academic inclusion and success is emerging to address gender imbalance in who gets funded, invited as peer reviewers, and cited in neuroscience research.⁴⁰ In conclusion, robust evidence for sex differences in the neurobiology of the brain exists.⁴¹ The topic is sensitive and controversially discussed because such findings are often misused to perpetuate gender stereotypes and sexist beliefs. Gender equality and lifting barriers for women in our society are ethical imperatives, and not causally linked to the presence or absence of biological sex differences. Attempts to root gender equality in biology are therefore misguided. Not actively pursuing this line of research will hinder scientific discovery and can be detrimental to the quality of healthcare for women. We encourage the investigation of sex and gender as variables of interest and the use of this knowledge as an opportunity to increase gender equality in the basic sciences and in clinical application.

37 Lewis/Kimmig/Zsido/Jank/Derntl/Sacher, 2019.

38 Galea, 2019.

39 Shansky, 2019; Mogil, 2020; Editorial in *Nature*, 2020; Editorial in *The Lancet*, 2020; Takehiro/Iwasaki, 2021.

40 *National Institutes of Health*, 2020; Dworkin/Linn/Teich/Zurn/Shinohara/Bassett, 2020; Chawla, 2018.

41 Liu/Seidlitz/Blumenthal/Clasen/Raznahan, 2020.

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Rachel Zsido is a PhD student at the Max Planck Institute for Human Cognitive and Brain Sciences and a founding member of her institute's Diversity Committee. She is enrolled in the Max Planck School of Cognition and the International Max Planck Research School NeuroCom graduate programs. She completed her undergraduate training at Harvard University and Massachusetts General Hospital, where she studied the influence of ovarian hormones on psychological and neural correlates of fear conditioning and extinction in women suffering from anxiety and post-traumatic stress disorder. Her current research focuses on how ovarian hormones and the serotonergic system interact to influence brain microstructure and neurochemistry, and the implications these interactions have on depression susceptibility, resilience, and treatment. Her doctoral work has received prizes from three international conferences, as well as a fellowship from the Joachim Herz Foundation.

Selected Publications:

Zsido, Rachel G, Matthias Heinrich, George M Slavich, Frauke Beyer, Shahrzad Kharabian Masouleh, Juergen Kratzsch, Matthias Raschpichler, Karsten Mueller, Ulrike Scharrer, Markus Löffler, Matthias L Schroeter, Michael Stumvoll, Arno Villringer, A Veronica Witte, and Julia Sacher. (2019). Association of Estradiol and Visceral Fat With Structural Brain Networks and Memory Performance in Adults. *JAMA Network Open*, 2(6), e196126. <https://doi.org/10.1001/jamanetworkopen.2019.6126>

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Dr. Julia Sacher is a psychiatrist and neuroscientist, and leads the Minerva Research Group: Emotion Neuroimaging (EGG) Lab at the Max Planck Institute for Human Cognitive and Brain Sciences in Leipzig, Germany. She obtained her MD and PhD degrees from the Medical University of Vienna in 2004 and 2007, and was a postdoctoral fellow at the Centre of Addiction and Mental Health (CAMH), University of Toronto until 2009. Dr Sacher and her group study how endogenous hormonal changes affect the human brain and behavior and aim to understand the unique vulnerabilities of women to neurodegenerative diseases, such as depression and dementia. Dr Sacher has pioneered a neurobiological model for postpartum blues, her work has been published in top-ranking journals, such as JAMA Psychiatry, Biological Psychiatry, Neuropsychopharmacology and Current Biology and she has won international fellowship grants and awards, such as the Humboldt Fellowship, a CIHR fellowship, the Branco Weiss Society in Science fellowship, the CINP Rafaelsen Award, and two Young NARSAD Investigator Awards (Brain and Behavior Foundation).

Selected Publications:

Slavich, George M, and Julia Sacher. (2019). Stress, sex hormones, inflammation, and major depressive disorder: Extending Social Signal Transduction Theory of Depression to account for sex differences in mood disorders. *Psychopharmacology*, 236, 3063–3079. doi: 10.1007/s00213-019-05326-9.

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ABOUT THE INSTITUTE

Max Planck Institute for Human Cognitive and Brain Sciences, Emotion & Neuroimaging Lab

Research at the Max Planck Institute for Human Cognitive and Brain Sciences revolves around human cognitive abilities and cerebral processes, with a focus on the neural basis of brain functions such as language, emotions, human social behavior, music and action. Through the use of multi-modal neuroimaging techniques, such as Positron Emission Tomography (PET), Magnetic Resonance Imaging (MRI) and electroencephalography (EEG), the Emotion & Neuroimaging (EGG) Lab, an independent research group, led by Dr Sacher, investigates how sex hormones affect brain and behavior across the adult lifespan and strives to identify the mechanisms underlying the unique vulnerabilities of women to depression and dementia.