

# Is FRBR A Domain?

## Domain Analysis Applied to the Literature of *The FRBR Family of Conceptual Models*<sup>†</sup>

Richard P. Smiraglia

Information Organization Research Group, School of Information Studies,  
University of Wisconsin-Milwaukee, Northwest Quadrant, Building B, Room 3565,  
P.O. Box 413, Milwaukee, WI 53201, (215) 266-5072 or <smiragli@uwm.edu>

Richard P. Smiraglia is professor, Information Organization Research Group, School of Information Studies, at the University of Wisconsin-Milwaukee. He has quantitatively explored the phenomenon of instantiation among information objects, domain analytical studies, social classification, and engaged epistemological analysis of the role of authorship in bibliographic tradition. He is an associate researcher of the e-Humanities Group, of the Royal Netherlands Academy of Arts and Sciences (KNAW), and is editor-in-chief of *Knowledge Organization*.



Smiraglia, Richard P. **Is FRBR A Domain? Domain Analysis Applied to the Literature of *The FRBR Family of Conceptual Models***. *Knowledge Organization*. 40(4), 273-282. 7 references.

**ABSTRACT:** Domain analysis helps visualize the semantic intellectual content of a coherent group, or domain. A domain is a group with an ontological base, an underlying teleology, common hypotheses and epistemology, and social semantics. *FRBR* has spawned a family of conceptual models and much writing. A recent second anthology about the *FRBR* models raises the question of whether a coherent domain has formed around the *FRBR* family. Domain analysis is used here to visualize the semantic content of the *FRBR* family domain and to compare its two main component groups, scholar authors and practitioner authors. Results show a common teleology with some subtle differences surrounding implementation of the *FRBR* family of models.

<sup>†</sup> The author wishes to acknowledge the assistance of Ann M. Graf and Jihee Beak in the research reported in this paper.

Received 24 May 2013; Accepted 24 May 2013

### 1.0 *FRBR* family and domain analysis

Domain analysis provides a set of techniques for extracting and analyzing the semantic intellectual content of a coherent group. A major tool for knowledge organization, domain analysis has been successfully applied to such diverse domains as “musicianship” (Lam 2011), “gourmet cooking” (Hartel 2010), and “scientific computing” (Tanaka 2010). Domain analysis has also been applied successfully to less deliberately coherent groups, such as those whose research incorporates a classic work (Patrick Wilson’s *Two Kinds of Power*; cf. Smiraglia 2007). Recently, Smiraglia defined a domain (2012, 114) in these terms: “a group with an ontological base that reveals an underlying teleology, a set of common hypotheses, epistemological consensus on methodological approaches,

and social semantics.” Domain analytical techniques draw out the concepts that form these components of domain coherence. But it remains a question yet to be informed by empirical analysis whether these components rely on overall coherence or require equal representation in a domain.

*FRBR* (*Functional Requirements for Bibliographic Records*) is the acronym standing for a group of conceptual models promulgated by IFLA (1998) and serving as the basis of a re-engineering of library bibliographic services. So powerful has the set of *FRBR*-based conceptual models become, that 2012 saw the publication of a special anthology of papers in the journal *Cataloging & Classification Quarterly* (vol. 50, nos. 5-7). The volume (edited by Smiraglia, together with Pat Riva and Maja Žumer, which also appeared in May 2013 as a Taylor & Francis monograph)

contained 24 papers contributed by authors worldwide concerning implementation, expansion, and research about the FRBR “family” of conceptual models. Table 1 shows the table of contents from the anthology.

| Author  | Title   |
|---|---|
| Patrick Le Boeuf  | Foreword  |
| Richard P. Smiraglia                                      | Introduction: Be Careful What You Wish For: Lacunae in the FRBR Family of Models  |
| Implementations   |   |
| John Espley and Robert Rillow                             | The VTLS Implementation of FRBR   |
| Michaela Putz, Verena Schaffner, Wolfram Seidler          | FRBR: The MAB2 Perspective  |
| Corinne Bitoun, Aurelie Signoles, and Asuncion Valderrama | Implementing FRBR to Improve Retrieval of In-House Information in a Medium-Sized International Institute  |
| Extensions  |   |
| Patrick LeBoeuf   | A Strange Model Named FRBRoo  |
| Norberto Manzanos   | Item, document, carrier: An Object Oriented Approach  |
| Maja Zumer and Edward T. O'Neill                          | Modeling Aggregates in FRBR   |
| Carlo Bianchini   | FRBR Without FRBR?  |
| Jonathan Furner   | FRSAD and the ontology of subjects of works   |
| Martin Doerr, Pat Riva, Maja Zumer                        | FRBR Entities: Identity and Identification  |
| FRBR and Cataloging Rules                                 |   |
| Mirna Willer and Ana Barbaric                             | FRBR/FRAD and Eva Verona's Cataloguing Code: Toward the Future Development of the Croatian Cataloguing Code   |
| Pat Riva and Chris Oliver                                 | Evaluation of RDA as an implementation of FRBR and FRAD   |
| Manolis Peponakis   | Conceptualizations of cataloguing object: A critique on current perceptions on FRBR Group 1 entities  |
| Alberto Petrucciani                                       | From the FRBR Model to the Italian Cataloguing Code (and Vice Versa?)   |
| Research Using FRBR                                       |   |
| Virginia Ortiz-Repiso and Paola Picco                     | The Contribution of FRBR to the Identification of Bibliographical Relationships: The New RDA-based Ways of Representing the Relationships in Catalogs |
| Clement Arsenaault and Alireza Noruzi                     | Work-to-Work bibliographic relationships from FRBR point of view: A Canadian Perspective  |
| Ray Schmidt   | Composing in Real Time: Jazz Performances as "Works" in the   |

| Author  | Title  |
|---|--|
|   | FRBR Model   |
| Takuya Tokita, Maiko Koto, Yosuke Miyata, Yukio Yokoyama, Shoichi Taniguchi, and Shuichi Ueda | Identifying Works for Japanese Classics toward Construction of FRBRized OPACs          |
| Hyewon Lee and Ziyong Park  | FRBRizing Bibliographical Records without Main Entry Headings and Uniform Titles       |
| Yin Zhang and Athena Salaba   | What do Users Tell us About FRBR-Based Catalogs?                                       |
| FRBR and The Semantic Web   |  |
| Gordon Dunsire  | Representing the FR Family in the Semantic Web   |
| Jane Greenberg, Ketan Mayer-Patel, and Shaun Trujillo   | YouTube: Applying FRBR and Exploring the Multiple Description Coding Compression Model |
| Lynne Howarth   | FRBR and Linked Data: Connecting FRBR and Linked Data                                  |

Table 1. Table of contents of *The FRBR Family of Conceptual Models: Toward a Linked Bibliographic Future (Cataloging & classification quarterly* v. 50, nos. 5-7 2012).

An interesting question that arose during the compilation of this volume was whether these authors represented in any way a coherent domain. The authors present an interesting mix of researchers and librarians, and papers range from empirical studies to conceptual analyses to descriptions of implementations. Domain analysis based on the citations in these papers is one approach to answering that question.

In fact, preliminary observations suggested there might be divergent citation patterns in the different papers. Although a small core of papers and monographs are cited in most of the papers, the rest are quite surprisingly diverse. A large proportion of the references are not to published materials, but rather to web-based services. Although a domain-like core seems to be shared among these authors, there are quite different citation practices between, for example, scholarly research papers and implementation descriptions. There also appear to be geographical or geopolitical differences present in the divergent citation practices across the group. Thus this domain-like group might have a common ontological base and share social semantics, but at the same time incorporate diverse epistemological stances due to divergent teleological imperatives. In other words, FRBR is a domain united by a conceptual model governing bibliographic information retrieval. But it is possible that quite divergent subgroups make up the domain.

**2.0 Methodology**

During the preparation of the *FRBR Family* volume, a bibliography of works about FRBR was compiled. The list was examined carefully to remove news bulletins. The final list was combined with the papers from the *FRBR Family* volume to yield 91 papers from practitioners and scholars, all devoted to some aspect of *FRBR* or its implementation. The citations in these 91 papers were gathered into an Excel spreadsheet. A total of 1,511 citations were recorded. The citations required manual “cleaning,” because they were not in author-date format, nor were names inverted, and some appeared not in reference lists but rather in endnotes, so the process of delimiting the data for analysis was time-consuming. After cleaning, 1,499 citations remained. Then the papers were divided according to first-author affiliation into two groups, “scholar” and “practitioner”—632 citations appeared in the papers by scholars, and 867 citations were in the papers by practitioners. A much larger number of papers fell into the practitioner category: 67 papers versus 24 attributed to scholars. Thus, the number of works cited per paper differed for the two groups. The mean number of works cited per paper in the scholars group was 21.75; in the practitioners group, it was 15.16. Overall then, the scholars cited more heavily than the practitioners.

*2.1 Year of Cited Work*

Analyzing the age of works cited in a domain tells us something about obsolescence and therefore also about the rate of absorption of new knowledge. In scientific domains, for instance, most cited works are relatively recent, because science is cumulative. That is, data reported in journals are incorporated in successive studies, which in turn are cited as the most recent research in a productive domain.

The citations were delimited to separate the dates of publication. Interestingly, 135 of the citations had no date. Those with dates were arrayed chronologically, and the age of cited work was calculated. The mean age of cited work was 15.47 years, the median was 11 years, and the mode was 8 years. The range of dates stretched from 1722 to 2012. The citation dates were clustered in groups that seemed meaningful when compared to the distribution of years in the data. These clusters and the proportional frequencies are shown in Figure 1.

*FRBR* was first published in 1998, so it is no surprise most of the publications cited fall after that date. The clear majority were published between 2000-2007, and interest seemed to wane after that. Of course, the nineteenth century citations are primarily references to Cutter’s *Rules* (1876); the large cluster dated 1950-1999 in-

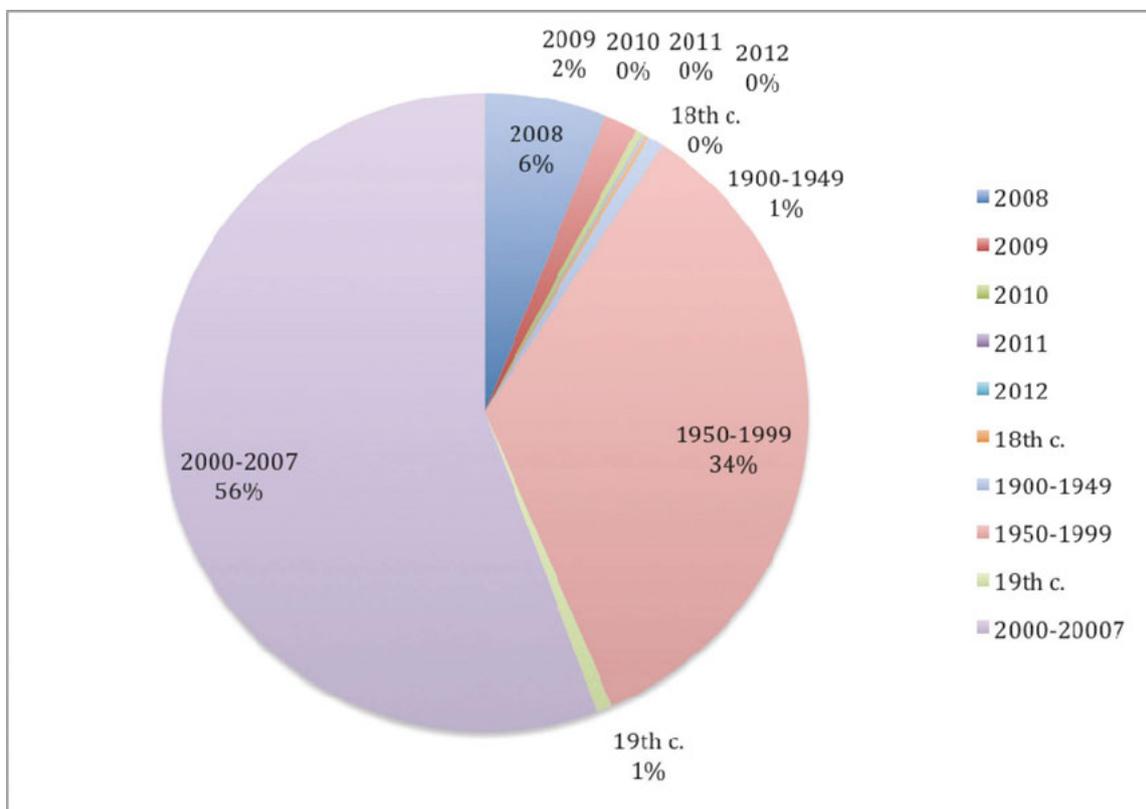


Figure 1. Clustered dates of cited works

cludes citations to the various editions of *Anglo-American Cataloging Rules* as well as Patrick Wilson's *Two Kinds of Power* and research by Smiraglia, Tillett, Yee, and others that presaged FRBR by emphasizing bibliographic relationships and the importance of works in the library catalog. Overall, this distribution is comparable to the results in most domain analyses of information studies or its sub-domains (such as knowledge organization), and the results resemble those of a social scientific domain, with a moderate rate of absorption, but a continued reliance on classic texts.

The comparison of the two groups "scholar" and "practitioner" is shown in Table 2.

| Practitioners |              |     | Scholars   |              |     |
|---------------|--------------|-----|------------|--------------|-----|
| Date range    | Number cited | %   | Date range | Number cited | %   |
| 0             | 104          | 11  | 0          | 31           | 4   |
| 18th c.       | 3            | .03 | 18th c.    | 0            | 0   |
| 19th c.       | 10           | 1   | 19th c.    | 1            | .01 |
| 1900-1949     | 4            | .04 | 1900-1949  | 6            | .09 |
| 1950-1999     | 247          | 28  | 1950-1999  | 213          | 33  |
| 2000-2007     | 431          | 49  | 2000-2007  | 334          | 52  |
| 2008          | 49           | 5   | 2008       | 35           | 5   |
| 2009          | 13           | 1   | 2009       | 10           | 1   |
| 2010          | 3            | .03 | 2010       | 2            | .03 |
| 2011          | 2            | .02 | 2011       | 0            | 0   |
| 2012          | 1            | .01 | 2012       | 0            | 0   |
|               | 867          |     |            | 632          |     |

Table 2. Dates of works cited by practitioners and scholars

The mean age of works cited by practitioners was 16.4 years, the median was 11 years, and the mode was 8 years. The mean age of works cited by scholars was 14.3 years, the median was 12 years, and the mode was 11 years. So it appears that practitioners cited slightly older works than scholars. In fact, the practitioners had more citations to pre-twentieth century works, including several 1876 citations to classical texts. The scholars had slightly more citations to recent works dated 2000 or later (58% vs. 54%). The year of publication of FRBR was 1998; both groups had a large number of citations dated in that year, 60 among the scholars (or 9%) and 61 among the practitioners (or 7%). Both groups had large numbers of undated citations, although proportionally the scholars had fewer—4% vs. 11%. This apparently minor result reflects a real difference between the two groups. The practitioners cite web resources such as OCLC WorldCat frequently, whereas the scholars do not. The difference is subtle—it means that the scholars are referencing works

they cite, but the practitioners are referencing uncited but relevant professional resources.

## 2.2 Countries of author affiliation

FRBR is a product of international cooperation through the International Federation of Library Associations and Information Institutions (IFLA), so it is no surprise that interest in the FRBR model is also international. Authors of the papers in this study listed twenty countries of affiliation. These are given in Table 3.

|           |
|-----------|
| Australia |
| Belgium   |
| Brazil    |
| Canada    |
| Finland   |
| France    |
| Germany   |
| India     |
| Iran      |
| Italy     |
| Japan     |
| Korea     |
| Norway    |
| Portugal  |
| Slovenia  |
| Spain     |
| Sweden    |
| Taiwan    |
| UK        |
| USA       |

Table 3. Countries of affiliation

Figure 2 shows the comparative proportions of country affiliations for the two groups of authors, scholars and practitioners.

Obviously, there are more countries of affiliation among the practitioner authors than among the scholar authors. Although the USA dominates both distributions, the relative prominence of European authors among the practitioner authors is noticeable, as is the Asian influence among the scholar authors. Whether these distinct differences constitute any intellectual difference is arguable. It is more likely that this is a representation of strengths in the bibliographic control community; strong and innovative libraries in the practitioner grouping, iSchools and research institutes in the scholar grouping. A more appropriate question, then, is whether there is knowledge sharing between or among the various components of the FRBR Family domain.

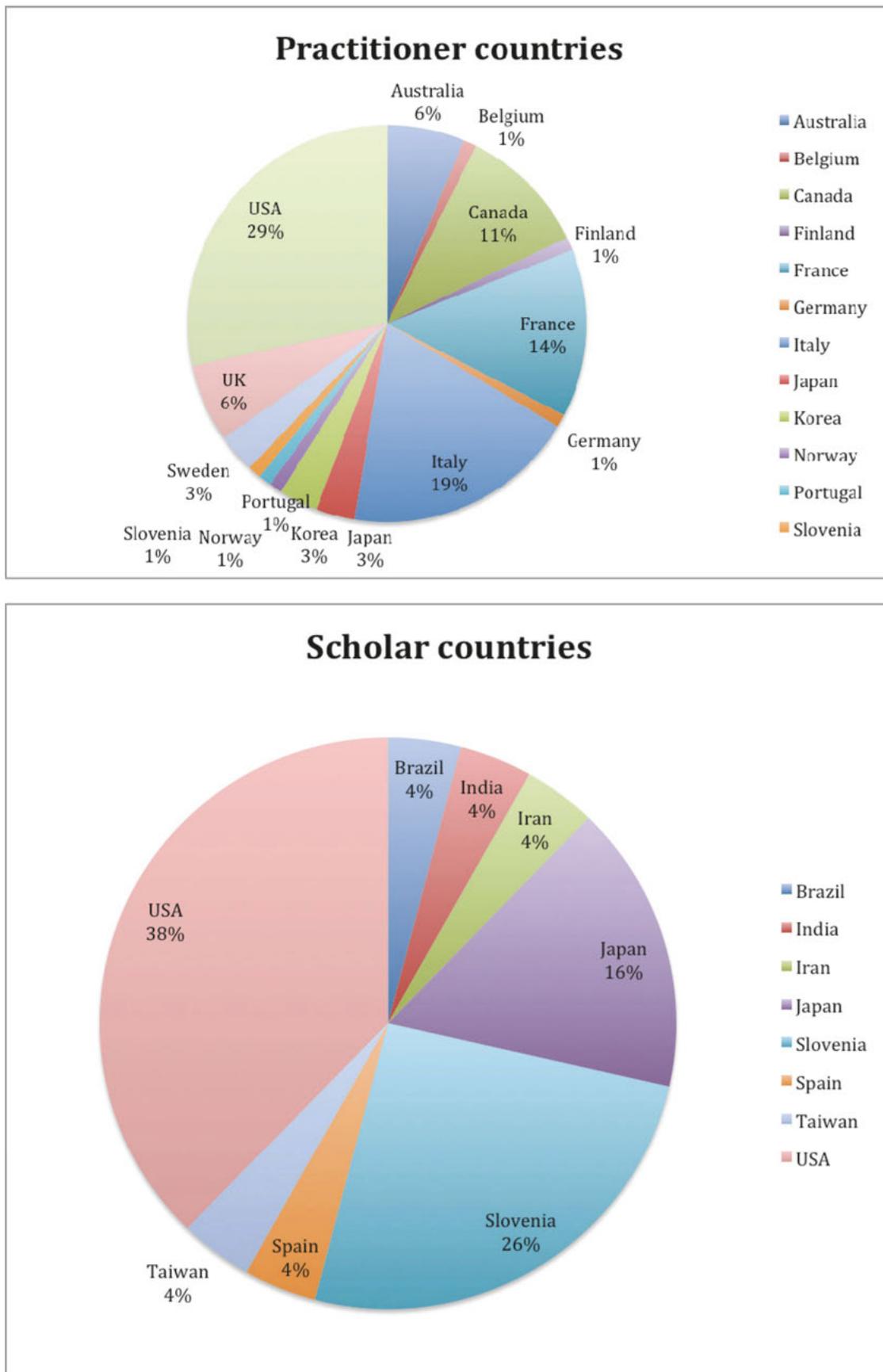


Figure 2. Comparison of countries of affiliation

2.3 Author co-citation analysis

If there is intellectual coherence within the domain, one way to visualize it is with author co-citation analysis. When authors are co-cited, it means they are perceived to be writing on similar topical threads in a research front. The larger the proportion of author co-citation, the better the evidence that members of the domain have common points of view, or at least common theoretical mile-posts. To begin, the 1,491 citations were sorted by cited author. One thousand three hundred ninety-seven recognizable author names were retrieved from the citations, and, of those, 137 were cited more than once. Thirty-two authors were cited six times or more, and these are shown in Table 4.

As usual, it is an interesting list. A few names—Charles Cutter, Eva Verona, Seymour Lubetzky—are frequently cited classic authors from the late nineteenth and early to mid-twentieth century. Otherwise, the list looks like the list of the most prominent members of the FRBR community in general. After removing the classical authors, the remaining twenty most cited authors were searched in *Web of Science™* for co-citation totals. These were entered into SPSS™, and a multi-dimensionally-scaled plot was generated. This plot is shown in Figure 3 and is a visualization of the entire domain.

Bearing in mind that the visualization represents how the citing community perceives the domain, we can see that there are several points of coherence. The accompa-

|                              |    |                       |    |
|------------------------------|----|-----------------------|----|
| Tillett, Barbara Ann Barnett | 44 | Hegna, Knut           | 10 |
| Yee, Martha M.               | 32 | Madison, Olivia M. A. | 10 |
| Le Boeuf, Patrick            | 30 | Bowen, Jennifer       | 8  |
| Hickey, Thomas B.            | 29 | Heaney, Michael       | 8  |
| Delsey, Tom                  | 27 | Albertsen, Ketil      | 7  |
| Smiraglia, Richard P.        | 27 | Antelman, Kristin     | 7  |
| Carlyle, Allyson             | 21 | Cutter, Charles A.    | 7  |
| O'Neill, Edward T.           | 19 | Guerini, Mauro        | 7  |
| Svenonius, Elaine            | 16 | Jonsson, Gunilla      | 7  |
| Bennett, R.                  | 15 | Kilner, Kerry         | 7  |
| Taniguchi, Shoichi           | 13 | Leazar, Gregory H.    | 7  |
| Zumer, Maja                  | 13 | Lubetzky, Seymour     | 7  |
| Vellucci, Sherry L.          | 12 | Howarth, Lynne C.     | 6  |
| Ayres, Marie-Louise          | 11 | Lagoze, Carl          | 6  |
| Wilson, Patrick              | 11 | Riva, Pat             | 6  |
| Aalberg, Trond               | 10 | Verona, Eva           | 6  |

Table 4. Most cited authors in the FRBR Family domain

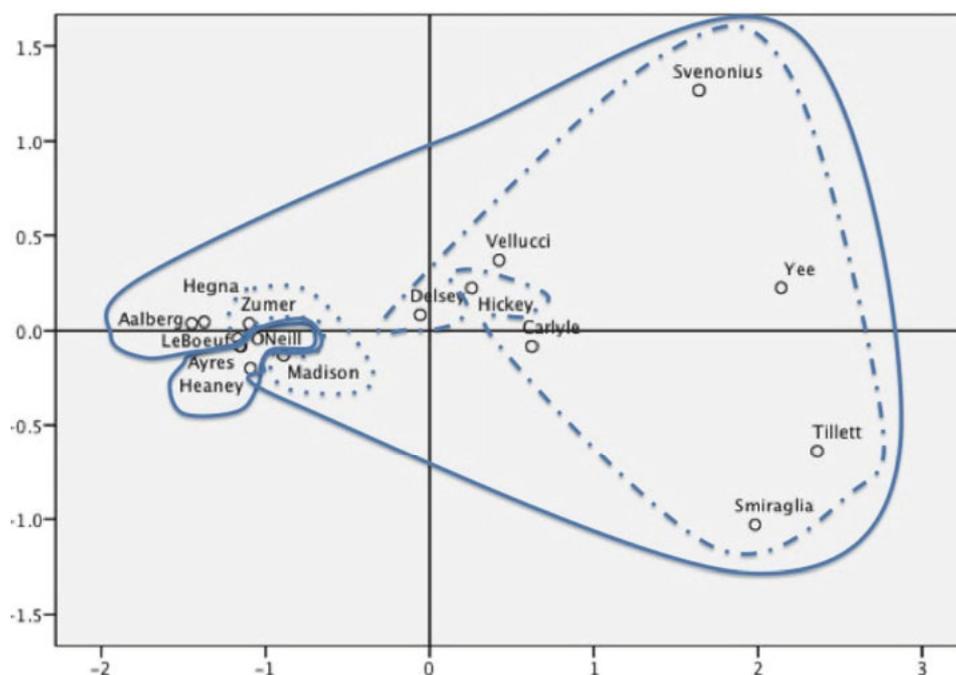


Figure 3. Author co-citation in the FRBR Family domain (stress = .08060 R-squared = .98024)

nying dendrogram (not shown here) tells us there are essentially four clusters, which ultimately are loosely associated in two groups. At the left, we see “Ayres Heaney and O’Neill,” who form one distinct cluster, associated with the OCLC research division and its work on theoretical issues underlying implementation. At the far right, we see a sort of classical *FRBR* cluster, including most of the authors who wrote about works and super-works, and oddly including Delsey, who was editor of *RDA*. Associated with them are those who worked with IFLA to create *FRBR*, as well as some who worked on the object-oriented *FRBRoo*. There is no circle around Le Boeuf, Aalberg, Hegna, or Hickey, because they are individually associated with the rest of this large group but not otherwise clustered. A broad interpretation of this map, again remembering we are looking at perceptions of the citing community, is that there are two groups of contributors to the *FRBR Family* at the conceptual level, those who wrote about works and those who wrote *FRBR* itself, and there is a small research front working on theoretical issues surrounding implementation.

To see whether there are differences in author co-citation between the two groups “scholar authors” and “practitioner authors,” all cited authors were sorted by group. Table 5 shows the authors most cited by the two groups using a cut-off point of 5 citations or more.

| Most cited by practitioner authors                                       |    | Most cited by scholar authors   |    |
|--|----|---|----|
| IFLA Study Group on the Functional Requirement for Bibliographic Records | 36 | IFLA Study Group on the Functional Requirements for Bibliographic Records | 26 |
| Tillet, Barbara  | 28 | Delsey, Tom   | 21 |
| Smiraglia, Richard P.  | 21 | Tillet, Barbara B.  | 16 |
| Yee, Martha M.   | 17 | Le Boeuf, Patrick   | 15 |
| Hickey, Thomas B   | 16 | Yee, Martha M.  | 14 |
| Joint Steering Committee for Development of RDA                          | 16 | Hickey, Thomas B. et al.  | 13 |
| Library of Congress  | 14 | Svenonius, Elaine   | 11 |
| International Federation of Library Associations and Institutions        | 13 | O’Neill, Edward T   | 10 |
| Carlyle, Allyson   | 12 | Carlyle, Allyson  | 8  |
| Le Boeuf, Patrick  | 12 | Joint Steering Committee for Development of RDA                           | 8  |

| Most cited by practitioner authors                                |    | Most cited by scholar authors                                      |   |
|---|----|--|---|
| Dublin Core Metadata Initiative                                   | 11 | Library of Congress  | 8 |
| Vellucci, Sherry L  | 10 | Zumer, Maja  | 8 |
| O’Neill, Edward T.  | 9  | Heaney, Michael  | 7 |
| OCLC  | 9  | Ayres, Marie-Louise  | 6 |
| Wilson, Patrick   | 9  | Library of Congress, Network Development and MARC Standards Office | 6 |
| Bennett, Rick   | 8  | Madison, Olivia M. A.  | 6 |
| Delsey, Tom   | 7  | Smiraglia, Richard P.  | 6 |
| Taniguchi, Shoichi  | 7  | Taniguchi, Shoichi   | 6 |
| Albertsen, Ketil  | 6  | Aalberg, Trond   | 5 |
| Bowen, Jennifer   | 6  | ALCTS CCS CC:DA  | 5 |
| JISC  | 6  | Bennett, Rick  | 5 |
| Jonsson, Gunilla  | 6  | IFLA   | 5 |
| Library of Congress, Network Development and MARC Standard Office | 6  | Lagoze, Carl   | 5 |
| Aalberg, Trond  | 5  | Riva, Pat  | 5 |
| Antelman, Kristin   | 5  | Weinstein, Peter C   | 5 |
| Ayres, Marie-Louise   | 5  |  |   |
| Cutter, Charles Ammi  | 5  |  |   |
| Guerrini, Mauro   | 5  |  |   |
| Hegna, Knut   | 5  |  |   |
| Jones, Edgar A.   | 5  |  |   |
| Lubetzky, Seymour   | 5  |  |   |
| Ranganathan, S.R.   | 5  |  |   |

Table 5. Most-cited authors by group

Now we see that the two groups are distinctly different in a number of ways. For one thing, there are many more citations to institutional documents in the practitioner group. Even removing those leaves two quite different lists, in particular with regard to the order of citedness. Still, if we remove the institutions and make the cut-off point six citations or more, we will be left with the same list of core authors whose names appear in Figure 3. (A methodological note: names with low co-citation counts removed from the plot in Figure 3 are those at the bottom of the practitioner distribution, including Bowen, Albertsen, and Jonsson). Thus it appears that, despite the differences in approach represented in Table 5, there remains an intellectual core common to both groups. This is a sign of domain coherence overall.

#### 2.4 Co-word analysis of the 91 titles

Co-word analysis can be used to visualize themes within a domain by using software such as WordStat™ that calculates term frequencies and using co-occurrence data makes three-dimensional plots. Often in domain analytical research, co-word analysis can provide a methodological triangulation that adds to the interpretation of author co-citation analyses. For this study, three plots of term frequency were created utilizing all of the titles of the 91 papers under study, and then making separate plots of the titles in papers by scholars and practitioners. The overall plot appears in Figure 4.

This picture is quite similar to the picture we saw in Figure 3. We have here three distinct groups loosely affiliated but nested near each other. The “universe, models, implementation” cluster is a parallel for the co-citation cluster anchored by O’Neill. Now we have also a small cluster including “cataloging and resources,” which perhaps helps us understand the central position of Carlyle and Delsey in Figure 3, representing resource description and catalog display as priorities. The rest of the domain is anchored by FRBR and the words that constitute the acronym, but also incorporates “metadata, conceptual, entity, and expression” among others. Figure 5 is a side-by-side plot of title co-words from the practitioner and scholar authors.

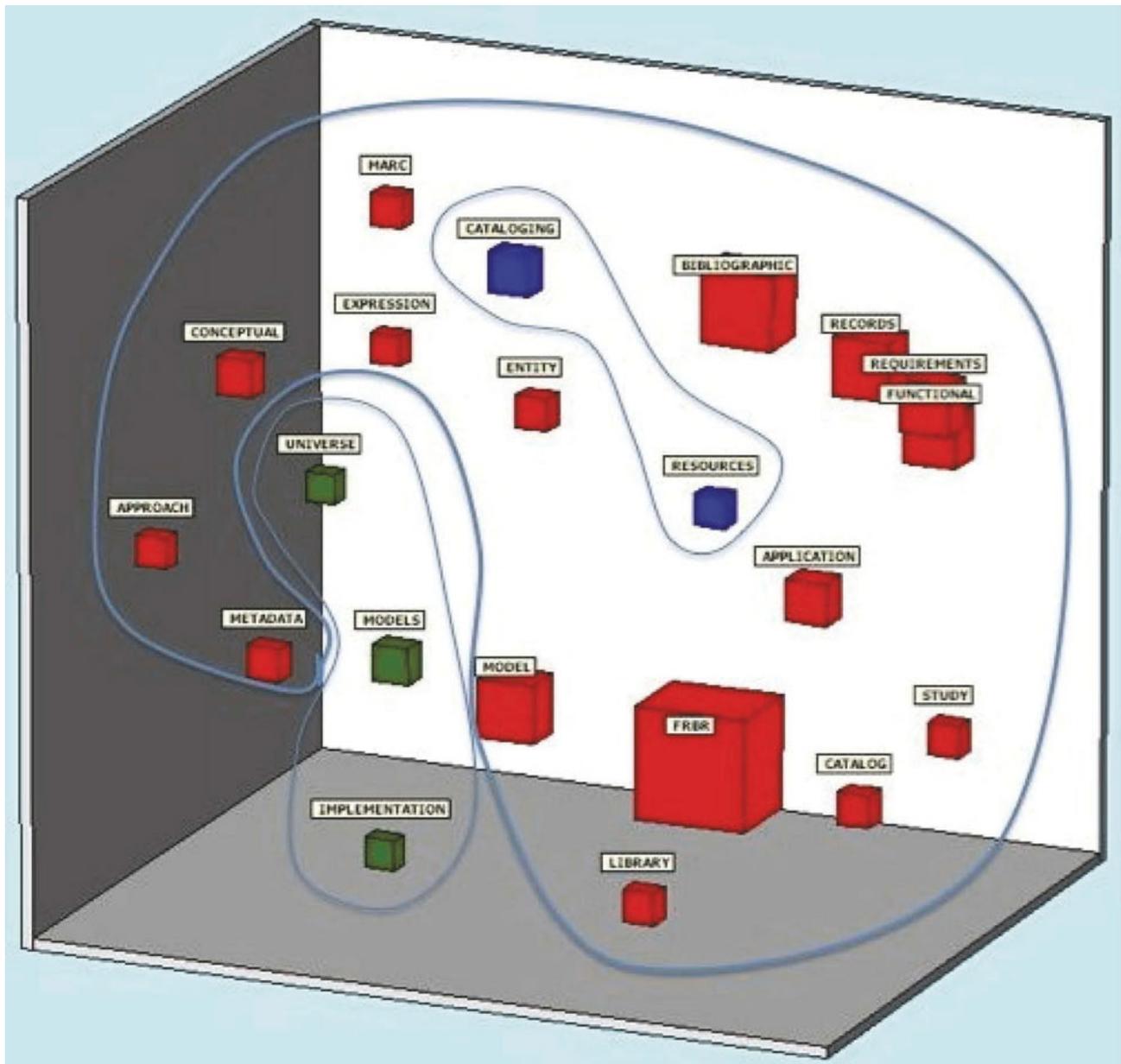


Figure 4. Co-word plot of all title keywords(stress = .24487 R-squared = .8164)



In each of these visualizations, there obviously are only two clusters so there is no need to outline them. And here the subtle difference between the two clusters is apparent and reflects what we saw above in the co-citation analysis. That is, there is a common core of *FRBR* conceptual material, but the practitioners have an extra concern for functional requirements, where the scholars show extra interest in universal mental models. The subtle differences now appear to reflect divergent approaches to implementation.

### 3.0 Concluding observations

We began with the question of whether the *FRBR* family of conceptual models had spawned a domain, and the answer appears to be affirmative. There is a common ontological base incorporated in the *FRBR* models, an underlying teleology in the goal of reinventing the catalog to separate formerly disregarded entities, and there is a shared epistemological base in the shared hypotheses, particularly concerning *FRBR* entities. There is also ample evidence of social semantics, particularly visible in common citation patterns. Overall, the domain has characteristics that mirror those of information studies in general, or knowledge organization (sometimes described as a sub-domain of information studies), but it also has its own *FRBR*-like character.

There were internal differences as well. Scholars cited more heavily than practitioners. Both scholar and practitioner authors had large numbers of undated citations, reflecting a real but subtle difference between the two groups. The same subtle difference emerged in co-word and author co-citation analyses. That is, practitioner authors cite institutional documentation, particularly in the form of web resources more frequently than their scholar counterparts. There are some interesting geopolitical implications in the observation that European authors predominate among the practitioners while Asian authors have influence among the scholars, although the domain is dominated by the US.

An attempt to discover divergence between the author and scholar groups highlighted some differences in approach toward implementation. Practitioner authors had greater interest in resource description practice, and in

particular the definition of *FRBR* entities, while the scholar authors had definitive bent toward universal applications of the *FRBR* models.

A secondary research question was whether the components of a domain rely on overall coherence or require equal representation in the domain. In this case, overall coherence is demonstrated, but without requiring equal representation throughout the domain. In other words, the domain can be coherent in its extension and still tolerate divergence in its intension.

### References

- Hartel, Jenna. 2010. Managing documents at home for serious leisure: a case study of the hobby of gourmet cooking. *Journal of documentation* 66: 847-74.
- IFLA Study Group on the Functional Requirements for Bibliographic Records. 1998. *Functional requirements for bibliographic records: final report*. München: K. G. Saur.
- Lam, Margaret. 2011. Towards a “musicianship model” for music knowledge organization. *OCLC systems & services* 27: 190-9.
- Smiraglia, Smiraglia, Richard P. 2007. *Two kinds of power*, insight into the legacy of Patrick Wilson. In Dalkir, Kimiz and Arsenault, Clément eds., *Information sharing in a fragmented world: crossing boundaries, Proceedings of the Canadian Association for Information Science Annual Conference, May 12-15, 2007, Montréal*. Available <http://www.cais-acsi.ca/search.asp?year=2007>.
- Richard P. 2012 Epistemology of domain analysis. In Smiraglia, Richard and Lee, Hur-Li, eds. *Cultural frames of knowledge*. Würzburg: Ergon-Verlag, pp. 111-24.
- Smiraglia, Richard P., Riva, Pat and Žumer, Maja, eds. 2013. *The FRBR family of conceptual models: toward a linked bibliographic future*. London: Routledge.
- Tanaka, Michiko. 2010. Domain analysis of computational science: fifty years of a scientific computing group. In Gnoli, Claudio and Mazzocchi, Fulvio eds., *Paradigms and conceptual systems in knowledge organization, Proceedings of the 11<sup>th</sup> International ISKO Conference, 23-26 February 2010, Rome, Italy*. Advances in knowledge organization 12. Würzburg: Ergon-Verlag, pp. 248-52.

# KNOWLEDGE ORGANIZATION

# KO

Official Bi-Monthly Journal of the International Society for Knowledge Organization

ISSN 0943 – 7444

International Journal devoted to Concept Theory, Classification, Indexing and Knowledge Representation

## Publisher

ERGON-Verlag GmbH, Keesburgstr. 11, D-97074 Würzburg  
 Phone: +49 (0)931 280084; FAX +49 (0)931 282872  
 E-mail: [service@ergon-verlag.de](mailto:service@ergon-verlag.de); <http://www.ergon-verlag.de>

## Editor-in-chief (Editorial office)

Dr. Richard P. SMIRAGLIA (Editor-in-Chief), School of Information Studies, University of Wisconsin, Milwaukee, Northwest Quad Building B, 2025 E Newport St., Milwaukee, WI 53211 USA. E-mail: [smiragli@uwm.edu](mailto:smiragli@uwm.edu)

## Instructions for Authors

*Manuscripts* should be submitted electronically (in Word format) in English only via email to the editor-in chief and should be accompanied by an indicative abstract of 150 to 200 words. Manuscripts of articles should fall within the range 6,000-10,000 words. Longer manuscripts will be considered on consultation with the editor-in-chief.

A separate title page should include the article title and the author's name, postal address, and E-mail address, if available. Only the title of the article should appear on the first page of the text.

To protect anonymity, the author's name *should not* appear on the manuscript, and all references in the body of the text and in footnotes that might identify the author to the reviewer should be removed and cited on a separate page.

*Criteria for acceptance* will be appropriateness to the field of the journal (see Scope and Aims), taking into account the merit of the contents and presentation. The manuscript should be concise and should conform as much as possible to professional standards of English usage and grammar. Manuscripts are received with the understanding that they have not been previously published, are not being submitted for publication elsewhere, and that if the work received official sponsorship, it has been duly released for publication. Submissions are refereed, and authors will usually be notified within 6 to 10 weeks.

The text should be structured by numbered subheadings. It should contain an introduction, giving an overview and stating the purpose, a main body, describing in sufficient detail the materials or methods used and the results or systems developed, and a conclusion or summary.

Footnotes are accepted only in rare cases and should be limited in number; all narration should be included in the text of the article. Paragraphs should include a topic sentence and some developed narrative; a typical paragraph has several sentences. Italics may not be used for emphasis. Em-dashes should not be used as substitutes for commas.

Italics should not be used for emphasis. Em-dashes should be used as substitutes for commas. Paragraphs should include a topic sentence and some developed narrative. A typical paragraph has several sentences.

*Reference citations within the text* should have the following form: (Author year). For example, (Jones 1990). Specific page numbers are required for quoted material, e.g. (Jones 1990, 100). A citation with two authors would read (Jones and Smith, 1990); three or more authors would be: (Jones et al., 1990). When the author is mentioned in the text, only the date and optional page number should appear in parenthesis – e.g. According to Jones (1990), ...

*References* should be listed alphabetically by author at the end of the article. Author names should be given as found in the sources (not abbreviated). Journal titles should not be abbreviated. Multiple citations to works by the same author should be listed chronologically and should each include the author's name. Articles appearing in the same year should have the following format: "Jones 2005a, Jones 2005b, etc." Issue numbers are given only when a journal volume is not through-paginated.

Examples:

Dahlberg, Ingetraut. 1978. A referent-oriented, analytical concept theory for INTERCONCEPT. *International classification* 5: 142-51.

Howarth, Lynne C. 2003. Designing a common namespace for searching metadata-enabled knowledge repositories: an international perspective. *Cataloging & classification quarterly* 37n1/2: 173-85.

Pogorelec, Andrej and Šauperl, Alenka. 2006. The alternative model of classification of belles-lettres in libraries. *Knowledge organization* 33: 204-14.

Schallier, Wouter. 2004. On the razor's edge: between local and overall needs in knowledge organization. In McIlwaine, Ia C. ed., *Knowledge organization and the global information society: Proceedings of the Eighth International ISKO Conference 13-16 July 2004 London, UK*. Advances in knowledge organization 9. Würzburg: Ergon Verlag, pp. 269-74.

Smiraglia, Richard P. 2001. *The nature of 'a work': implications for the organization of knowledge*. Lanham, Md.: Scarecrow.

Smiraglia, Richard P. 2005. Instantiation: Toward a theory. In Vaughan, Liwen, ed. *Data, information, and knowledge in a networked world; Annual conference of the Canadian Association for Information Science ... London, Ontario, June 2-4 2005*. Available <http://www.caais-acsi.ca/2005proceedings.htm>.

*Illustrations* should be kept to a necessary minimum and should be embedded within the document. Photographs (including color and half-tone) should be scanned with a minimum resolution of 600 dpi and saved as .jpg files. Tables and figures should be embedded within the document. Tables should contain a number and title at the bottom, and all columns and rows should have headings. All illustrations should be cited in the text as Figure 1, Figure 2, etc. or Table 1, Table 2, etc.

The entire manuscript should be double-spaced, including notes and references.

Upon acceptance of a manuscript for publication, authors must provide a wallet-size photo and a one-paragraph biographical sketch (fewer than 100 words). The photograph should be scanned with a minimum resolution of 600 dpi and saved as a .jpg file.

## Advertising

Responsible for advertising: ERGON-Verlag GmbH, Keesburgstr. 11, 97074 Würzburg (Germany).

© 2013 by ERGON-Verlag GmbH.

All Rights reserved.

**KO is published bi-monthly by ERGON-Verlag GmbH.**

- **The price for the print version is € 229,00/ann. including air-mail delivery.**
- **The price for the print version plus access to the online version (PDF) is € 258,00/ann. including airmail delivery.**

## Scope

The more scientific data is generated in the impetuous present times, the more ordering energy needs to be expended to control these data in a retrievable fashion. With the abundance of knowledge now available the questions of new solutions to the ordering problem and thus of improved classification systems, methods and procedures have acquired unforeseen significance. For many years now they have been the focus of interest of information scientists the world over.

Until recently, the special literature relevant to classification was published in piecemeal fashion, scattered over the numerous technical journals serving the experts of the various fields such as:

philosophy and science of science  
science policy and science organization  
mathematics, statistics and computer science  
library and information science  
archivistics and museology  
journalism and communication science  
industrial products and commodity science  
terminology, lexicography and linguistics

Beginning in 1974, KNOWLEDGE ORGANIZATION (formerly INTERNATIONAL CLASSIFICATION) has been serving as a common platform for the discussion of both theoretical background questions and practical application problems in many areas of concern. In each issue experts from many countries comment on questions of an adequate structuring and construction of ordering systems and on the problems of their use in opening the information contents of new literature, of data collections and survey, of tabular works and of other objects of scientific interest. Their contributions have been concerned with

- (1) clarifying the theoretical foundations (general ordering theory/science, theoretical bases of classification, data analysis and reduction)
- (2) describing practical operations connected with indexing/classification, as well as applications of classification systems and thesauri, manual and machine indexing
- (3) tracing the history of classification knowledge and methodology
- (4) discussing questions of education and training in classification
- (5) concerning themselves with the problems of terminology in general and with respect to special fields.

## Aims

Thus, KNOWLEDGE ORGANIZATION is a forum for all those interested in the organization of knowledge on a universal or a domain-specific scale, using concept-analytical or concept-synthetical approaches, as well as quantitative and qualitative methodologies. KNOWLEDGE ORGANIZATION also addresses the intellectual and automatic compilation and use of classification systems and thesauri in all fields of knowledge, with special attention being given to the problems of terminology.

KNOWLEDGE ORGANIZATION publishes original articles, reports on conferences and similar communications, as well as book reviews, letters to the editor, and an extensive annotated bibliography of recent classification and indexing literature.

KNOWLEDGE ORGANIZATION should therefore be available at every university and research library of every country, at every information center, at colleges and schools of library and information science, in the hands of everybody interested in the fields mentioned above and thus also at every office for updating information on any topic related to the problems of order in our information-flooded times.

KNOWLEDGE ORGANIZATION was founded in 1973 by an international group of scholars with a consulting board of editors representing the world's regions, the special classification fields, and the subject areas involved. From 1974-1980 it was published by K.G. Saur Verlag, München. Back issues of 1978-1992 are available from ERGON-Verlag, too.

As of 1989, KNOWLEDGE ORGANIZATION has become the official organ of the INTERNATIONAL SOCIETY FOR KNOWLEDGE ORGANIZATION (ISKO) and is included for every ISKO-member, personal or institutional in the membership fee (US \$ 55/ US \$ 110).

*Rates:* From 2013 on for 6 issues/ann. (including indexes) € 229,00 (forwarding costs included) for the print version resp. € 258,00 for the print version plus access to the online version (PDF). Membership rates see above.

ERGON-Verlag GmbH, Keesburgstr. 11, D-97074 Würzburg; Phone: +49 (0)931 280084; FAX +49 (0)931 282872; E-mail: [service@ergon-verlag.de](mailto:service@ergon-verlag.de); <http://www.ergon-verlag.de>

Founded under the title International Classification in 1974 by Dr. Ingetraut Dahlberg, the founding president of ISKO. Dr. Dahlberg served as the journal's editor from 1974 to 1997, and as its publisher (Indeks Verlag of Frankfurt) from 1981 to 1997.

The contents of the journal are indexed and abstracted in *Social Sciences Citation Index*, *Web of Science*, *Information Science Abstracts*, *INSPEC*, *Library and Information Science Abstracts (LISA)*, *Library, Information Science & Technology Abstracts (EBSCO)*, *Library Literature and Information Science (Wilson)*, *PASCAL*, *Referativnyi Zhurnal Informatika*, and *Sociological Abstracts*.