

# Appendices

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## Appendix A-1: Overview of data collected in interviews and from participant observation

I conducted a total number of 103 semi-structured interviews for this PhD project. I classified interview partners into four categories as external experts, policy makers/administrative staff, project management agency staff and project participants from Germany and partner countries. Of a total of 26 interviewees involved with policy making, 17 worked directly within the BMBF or other German ministries, 9 worked on behalf of the BMBF at project management agencies. Additionally, I interviewed 27 external experts based on their knowledge and institutional background in the context of research funding and science policy.

Further data on discourse production in a policy setting was collected at instances of interaction between science, society and policymaking. I attended the 1. Symposium Sustainability in Science, which took place on 23.04.2013 in Berlin and took fieldnotes on the observations and conversations around perceptions of sustainability and science policy for sustainability. The 10<sup>th</sup> BMBF forum for sustainability, the *BMBF-Forum für Nachhaltigkeit*, or *FONA Forum*, 9. – 11.09.2013, Leipzig, provided me with an additional opportunity to conduct informal interviews with policy-makers, project-management-agency staff and project participants, as well as a chance of participant observation of the BMBF's approach to participatory agenda setting in the context of research for development. The observations and informal conversations were documented in fieldnotes.

In order to collect data on projects, a total of 51 project participants were interviewed, 18 of those from partner countries, 33 from Germany. Project participants interviewed were involved in 11 different projects from the IWRM funding priority, 3 projects from the Megacities initiative, and the 2 projects funded as African Climate Science Service Centers, WASCAL and SASSCAL.

In view of the Megacities funding initiative, data was obtained mainly through fieldwork as an intern at the LIWA project office, Lima, Peru, from 01.08.2012 to 30.09.2012. The research stay included participant observation, interviews, informal conversations, participation in project activities and insights into different types of project documents. These insights were recorded as fieldnotes and tran-

scripts, which produced substantial amounts of data on the Lima project, but even more so on the Megacities initiative as such. In order to triangulate data on the Megacities initiative, I attended the Second International Conference on Future Megacities in Action on May 14 – 16, 2013, in Hamburg. During the event, I conducted different informal interviews with project participants, observed the interaction of ministerial representatives, project management agency staff and project participants, documented in field notes.

Insights into the IWRM initiative were to be generated in an internship at IWAS Brazil, from 01.10. to 31.11.2012. However, participant observation, informal conversations and insights into project documents (documented in fieldnotes, transcripts, and logfile) soon revealed that IWAS Brazil deviated from the projects within the original IWRM call for funding. Participant observation and informal conversations at the IWAS status colloquium, 6.12.2012, in Magdeburg enhanced this impression. Thus, in order to gain insights comparable to the depths in the Megacities Initiative, data on further projects within the IWRM funding initiative was generated in semi-structured interviews.